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61PE VE		Application Number	10/784,376		
TŘANSMITTAL FORM		Filing Date	February 23, 2004		
		First Named Inventor	Sundararajan et al.		
		Art Unit	2176		
(to be used for all correspondence after initial filing)		Examiner Name			
Total Number of Pages in This Submission 7		Attorney Docket Number	BEAS-01391US1		
ENCLOSURES (Check all that apply)					
Preliminary Amendment Lice After Final Peti		ensing-related Papers tition	TC Appe	Allowance Communication to eal Communication to Board opeals and Interferences	
		tition to Convert to a ovisional Application		eal Communication to TC eal Notice, Brief, Reply Brief)	
		wer of Attorney, Revocation ange of Correspondence		Proprietary Information Status Letter	
☐ Information Disclosure Statement ☐ Ter		rminal Disclaimer		r Enclosure(s) (please Identify	
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Signature					
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Date August <u>/.5</u> 2005			Reg. No.	36,226	
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Typed or printed name Teri Muir			Date	August <u>/</u> 5 2005	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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In re Application

Inventor(s):

Sundararajan et al.

Appln. No.:

10/784,376

Confirm. No.:

8926

Filed:

February 23, 2004

Title: SYSTEMS AND METHODS FOR DECLARATIVELY TRANSFORMING DATA

OBJECTS BETWEEN DISPARATE

PRESENTATIONS

PATENT APPLICATION

Art Unit: 2176

Examiner:

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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_(Signature

Teri Muir

Signature Date: August 25 2005.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- Copies of cited U.S. patents and publications are not enclosed. However, copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98(a)(2), as required, except for those items designated by an asterisk (*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an *Information Disclosure Statement* submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).
- If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language

documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

✓ PTA Statement under 37 C.F.R. §1.704(d). Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Copy of International Search Report for review.

This statement should be considered because:

- ✓ 37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
 - (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);

 -- OR --
 - (2) It is being filed within 3 months of entry of a national stage; -- OR --
 - (3) It is being filed before the mailing date of the first Office Action on the merits,

 -- OR --
 - (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.
- ___ 37 C.F.R. §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:
 - (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
 - -- AND (check at least one of the following) --
 - __ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).
 - (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).
- ___ 37 C.F.R. §1.97(d). Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:
 - (1) It is being filed on or before payment of the Issue Fee; -- AND --
 - (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);
 -- AND --
 - (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

Fee Authorization. The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted, FLIESLER MEYER LLP

Date: August 15, 2005

Joseph P. O'Malley Reg. No. 36,226

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Serial/Patent Number Attorney Docket Number Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Substitute) PATENT AND TRADEMARK OFFICE Information Disclosure Statement BEAS-01391US1 10/784,376 BY APPLICANT (Use several sheets if necessary) Applicant/Patent Owner Sun dararajan et al. Filing/Issue Date Group Art Unit February 23, 2004 2176 **U.S. PATENTS** Examiner Initial Patent Number Issue Date First Named Inventor Class Subclass Filing Date 395 701 6,028,997 02/22/00 Leymann et al. 05/30/97 U.S. PATENT PUBLICATIONS Examiner Patent Application Publication Number **Publication Date** Applicant Initial FOREIGN PATENT DOCUMENTS Trans-**Document Number Publication** Country Class Subclass lation Examiner Yes | No Initial Date OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages) Kunisetty, "Workflow Modeling and Simulation Using an Extensible Object-Oriented Knowledge Base Management System" CiteSeer, 1996, pp. 1-60 Van Der Aalst et al., "Verification of XRL: An XML-Based Workflow Language" IEEE, July 2001, pp. 427-432 Blake, "Rule-Driven Coordination Agents: A Self-Configurable Agent Architecture for Distributed Control" IEEE, March 2001; pp: 271-277 Dahalin et al., "Workflow Interoperability Using Extensible Markup Language (XML)" IEEE, July 2002; pp: 513-516 **Date Considered** Examiner *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *1 = Copy not submitted because it was submitted in prior application SN / ____, filed ______, 20____, relied on under 35 USC §120. *2 = Copy not submitted because it was submitted in prior application SN _/____, filed _____, 20___, relied on under 35 USC §120.